

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Erik A. Altman et al

Serial No.: 09/847776

Group Art Unit:

Filed: May 2, 2001

Examiner:

For: **METHOD AND APPARATUS FOR  
TRANSFERRING CONTROL IN A  
COMPUTER SYSTEM WITH DYNAMIC  
COMPILATION CAPABILITY**

Docket No: YOR920010367

**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In order to assist in the examination of this application, and in accordance and compliance with 37 CFR 1.56, 1.97 and 1.98, a copy of each of the documents listed on the attached form PTO-1449 is forwarded herewith.

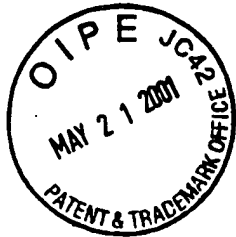
Respectfully submitted,

By: 

Robert P. Tassinari, Jr.  
Registration No. 36,030

IBM Corporation  
Intellectual Property Law Dept.  
P.O. Box 218  
Yorktown Heights, New York 10598  
(914) 945-2631

FORM PTO-1449 (Modified)				ATTY. DOCKET NO. YOR9200010367		SERIAL NO.:09/847776						
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT				APPLICANT: Erik R. Altman et al								
(Use several sheets if necessary)				FILING DATE: May 2, 2001		GROUP:						
REFERENCE DESIGNATION				U.S. PATENT DOCUMENTS								
EXAMINER INITIALS		DOCUMENT NUMBER						DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
	AA											
	AB											
	AC											
	AD											
	AE											
	AF											
	AG											
	AH											
	AI											
	AJ											
	AK											
FOREIGN PATENT DOCUMENTS												
		DOCUMENT NUMBER						DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
												YES NO
	AL											
	AM											
	AN											
	AO											
	AP											
OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)												
	AQ	IEEE Computer March 2000 "Dynamic and Transparent Binary Translation" M. Gschwind et al pp. 54-59										
	AR	ACM/IEEE 32nd Intl. Symposium on Microarchitecture, " Optimizations and Oracle Parallelism with Dynamic Translation" K. Ebcioglu et al										
	AS	ACM/IEEE Computer Sigplan Notices Vol. 22, #7 July1987 "Mimic: A Fast System/370 Simulator" Cathy May										
EXAMINER									DATE CONSIDERED			
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.												



0460-0500  
5-24-01  
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**CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)**

I hereby certify that the attached correspondence comprising:

Post Card  
Information Disclosure Statement  
with attached PTO 1449 form and references

is being deposited with the United States Postal Service as first  
class mail in an envelope addressed to:

Assistant Commissioner of Patents  
Washington, DC 20231

on May 16, 2001

Emily S. Forbes  
(Print name of person certifying paper)

Emily S. Forbes  
(Signature of person certifying paper)

Docket No. YOR920010367